



Department of Defense **DIRECTIVE**

NUMBER 5030.61

May 24, 2013

Incorporating Change 1, Effective June 25, 2015

USD(AT&L)

SUBJECT: DoD Airworthiness Policy

References: See Enclosure 1

1. **PURPOSE.** This directive establishes policy and assigns responsibilities for DoD airworthiness in accordance with the authority vested in the Secretary of Defense by section 113 of Title 10, United States Code (Reference (a)).

2. **APPLICABILITY.** This directive:

a. Applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within DoD (referred to collectively in this directive as the “DoD Components”).

b. Does not restrict the authority or responsibilities of the Military Departments with respect to airworthiness in accordance with sections 3013, 5013, and 8013 of Reference (a).

3. **POLICY.** It is DoD policy that:

a. All aircraft and air systems owned, leased, operated, used, designed, or modified by DoD must have completed an airworthiness assessment in accordance with Military Department policy. The airworthiness assessment provides DoD personnel (to include Service members and DoD civilians) and DoD contractors the appropriate level of safety of flight and risk management adapted to DoD-unique mission requirements.

b. ~~DoD~~ *Military Department* airworthiness authorities, within their respective airworthiness guidance, will provide commanders the ability to conduct missions while employing prudent risk mitigation measures in cases where a timely airworthiness assessment is not feasible.

4. RESPONSIBILITIES. See Enclosure 2.

5. RELEASABILITY. ~~Unlimited. Cleared for public release.~~ This directive is ~~approved for public release and is~~ available on the Internet from the DoD Issuances Website at <http://www.dtic.mil/whs/directives>.

6. EFFECTIVE DATE. This directive:

~~—a. Is is~~ effective May 24, 2013.

~~—b. Must be reissued, cancelled, or certified current within 5 years of its publication in accordance with DoD Instruction (DoDI) 5025.01 (Reference (b)). If not it will expire effective May 24, 2023 and be removed from the DoD Issuances Website.~~



Ashton B. Carter
Deputy Secretary of Defense

Enclosures

1. References
2. Responsibilities
3. DoD Standardized Airworthiness Assurance ~~Guidelines-Requirements~~

Glossary

ENCLOSURE 1

REFERENCES

- (a) Title 10, United States Code
- ~~(b) DoD Instruction 5025.01, "DoD Directives Program," September 26, 2012~~
- (~~eb~~) DoD Instruction 4500.53, "DoD Commercial Air Transportation Quality and Safety Review Program," October 20, 2008, as amended
- (~~ec~~) Military Standard MIL-STD-882E, "*Department of Defense* Standard Practice: System Safety," May 11, 2012
- (~~ed~~) DoD Instruction 5000.02, "Operation of the Defense Acquisition System," ~~December 8, 2008-January 7, 2015~~
- (~~ee~~) Military Handbook MIL-HDBK-516~~BC~~, "Department of Defense Handbook: Airworthiness Certification Criteria," ~~February 26, 2005, as amended December 12, 2014~~
- (~~ef~~) DoD Instruction 4540.01, "Use of International Airspace by U.S. Military Aircraft and for Missile/Projectile Firings," ~~March 28, 2007 June 2, 2015~~
- (~~eg~~) DoD Directive 5030.19, "DoD Responsibilities on Federal Aviation ~~and National Airspace System Matters~~," ~~June 15, 1997-September 13, 2013~~
- (h) *Under Secretary of Defense for Acquisition, Technology and Logistics Memorandum, "Flight in Foreign-Owned Aircraft Implementation Guidance," October 26, 2014¹*
- (i) *Federal Aviation Regulation*
- (j) *Title 14, Code of Federal Regulations*
- (k) *Part 102-33, Title 41, Code of Federal Regulations*

¹ Available through AT&L

ENCLOSURE 2

RESPONSIBILITIES

1. UNDER SECRETARY OF DEFENSE FOR ACQUISITION, TECHNOLOGY, AND LOGISTICS(USD(AT&L)). The USD(AT&L) establishes policy, assigns responsibilities, provides procedures, and oversees DoD airworthiness matters.

2. DoD COMPONENT HEADS THAT OWN, LEASE, OPERATE, USE, DESIGN, OR MODIFY AIRCRAFT OR AIR SYSTEMS. The DoD Component heads that own, lease, operate, use, design, or modify aircraft or air systems:

a. *Utilize the established airworthiness assurance system of a Military Department or* ~~Do~~ develop, implement, and operate an airworthiness assurance system consistent with the guidelines in Enclosure 3 of this directive.

~~—b. Utilize the established airworthiness assurance system of another Military Department..~~

eb. Direct *subordinate* Airworthiness Authorities and Airworthiness Authorities Management and Control Organizations to assist the USD(AT&L) in implementing this directive.

3. SECRETARIES OF THE MILITARY DEPARTMENTS. The Secretaries of the Military Departments:

a. Develop, implement, and operate an airworthiness assurance system consistent with Enclosure 3 of this directive.

b. Direct Airworthiness Authorities and Airworthiness Authorities Management and Control Organizations to assist the USD(AT&L) in implementing this directive.

4. COMBATANT COMMANDERS. When a Combatant Commanders requires it, he or she will ensure adequate resources are allocated for the airworthiness ~~certification or~~ assessment of foreign owned or leased aircraft and air systems. This resourcing requirement does not include aircraft and air systems certified or assessed in accordance with *DoD Instruction* (DoDI) 4500.53 (Reference (*eb*)).

ENCLOSURE 3

DoD STANDARDIZED AIRWORTHINESS ASSURANCE REQUIREMENTS

1. ESTABLISH AN AIRWORTHINESS AUTHORITY

a. Military Departments

(1) Military Department airworthiness authorities will be designated at the general or flag officer or civilian equivalent level.

(2) Military Department airworthiness authorities will maintain Department-level guidance to implement this directive.

(3) Military Department airworthiness authorities will assess and ~~certify the issue~~ airworthiness ~~of approvals for~~ manned and unmanned aircraft and air systems owned, leased, operated, used, designed, or modified by their respective Departments.

b. Other ~~OSD and~~ DoD Components

(1) ~~OSD and~~ DoD Components other than Military Departments that own, lease, operate, use, design, or modify any DoD manned or unmanned aircraft or air systems that do not have an airworthiness authority will either establish an airworthiness authority in accordance with this directive or formally adopt the airworthiness ~~certification~~ *approval* provided by a Military Department airworthiness authority.

(2) ~~OSD and Other~~ DoD Components that utilize another Military Departments' airworthiness process are responsible for providing the resources necessary to accomplish the required ~~airworthiness certification or~~ *assessment or approval*.

2. DESIGNATE TECHNICAL AUTHORITY. Each airworthiness authority within DoD will establish a technical authority designated to lead a robust engineering organization capable of independently assessing the airworthiness of aircraft and air system configurations and establishing airworthiness limitations for all aircraft and air systems owned, leased, operated, used, designed, or modified by that Military Department.

3. DESIGNATE AN AIRWORTHINESS MANAGEMENT AND CONTROL ORGANIZATION. The airworthiness authority will designate a suitably trained organization based on existing structure that will be familiar with federal regulations and DoD policy governing airworthiness to manage and control the airworthiness process. The airworthiness organization will be sufficiently independent of acquisition program and operational influence to present an objective and valid assessment of airworthiness, safety of flight residual risk, and to exercise overall engineering oversight in compliance with this directive.

4. ~~CERTIFICATION AND ASSESSMENT OF AIRWORTHINESS~~ AIRWORTHINESS ASSESSMENT AND APPROVAL

a. ~~Each~~ *The* airworthiness authority will document *the assessment* and issue ~~certification or assessment of~~ airworthiness *approval, if appropriate*, that captures the complete description of aircraft or air systems configuration, operating limitations, and other operating information necessary for safe operation of the aircraft or air systems within the scope of their DoD Component.

b. The ~~certification or assessment of~~ airworthiness *assessment or approval* will only be issued by an airworthiness authority (*or delegated airworthiness authority*) established or recognized in accordance with this directive and will be made available prior to flight.

5. MISHAP RISK ASSESSMENT. The airworthiness authority will ensure that mishap risk assessments, when necessary, are prepared in accordance with Military Standard MIL-STD-882E (Reference (~~dc~~)) ~~or and~~ appropriate *DoD Component* regulatory guidance and policy in concert with their engineering evaluation conducted to assess ~~or certify and issue~~ airworthiness *approvals*.

a. The risks will be documented and accepted by the appropriate risk acceptance authorities in accordance with DoDI 5000.02 (Reference (*ed*)).

b. For unmanned aircraft and air systems, risk associated with the loss of aircrew may not apply. However, as with manned air vehicles, safety of flight risks associated with personnel, damage to equipment, property, or environment must be identified and accepted at the appropriate level in accordance with Reference (*ed*) as part of the airworthiness review.

6. CONFIGURATION MANAGEMENT. OSD and DoD Components will establish and implement a means by which the configuration of the aircraft and air systems is managed over the life cycle of the aircraft and air system. This will include processes by which the configuration is identified, change is managed, configuration status is accounted for, and verification and audit of configuration changes are conducted to ensure configuration of the aircraft and air system is maintained to the specific configuration that has been determined by the airworthiness authority to be airworthy. For aircraft and air systems undergoing production or modification, each DoD Component will implement a means by which the production processes are evaluated and controlled such that each product meets the airworthiness requirements for the specific configuration.

7. CONTINUED AIRWORTHINESS. Once their aircraft and air systems are declared to be airworthy, OSD and DoD Components will maintain them to keep that status. The maintenance measures for OSD and DoD Component airworthiness processes include:

a. Inspections. Inspection intervals and criteria will be established to assess the status of the materiel to sustain airworthy operation.

b. Life Limits. Life limits, wear limits, and condition limits for mandatory replacement or overhaul will be established as necessary to assure continued airworthy use.

c. Maintenance and Overhaul. Maintenance and overhaul requirements for continued airworthiness will be documented, including physical standards of the materiel, process standards, and personnel training.

d. Critical Safety Items. Critical safety items will be controlled in compliance with section 2319 of Reference (*ea*).

8. CERTIFICATION-APPROVAL CRITERIA. When applicable, ~~DoD~~*Military Department* airworthiness authorities will utilize Military Handbook 516~~BC~~ (Reference (*fe*)) and subsequent ~~handbooks in the 516-series-revisions~~ for determining airworthiness ~~certification approval~~ criteria.

9. FLIGHT-TEST-PARTICULAR CONSIDERATIONS. DoD Components will oversee their airworthiness process and verify that it satisfies the unique airworthiness requirements particular to the flight test environment. Specifically, ~~DoD~~*Military Department* airworthiness processes should include methods to ensure the airworthiness of developmental aircraft and air systems as operating envelopes are tested and the procedures to follow to safely return to flight after airworthiness limitations have been exceeded during flight testing.

10. ADOPTION OF FEDERAL AVIATION ADMINISTRATION (FAA) AIRWORTHINESS CERTIFICATION

a. Utilization of FAA airworthiness certification by a ~~DoD~~*Military Department* airworthiness authority as a basis of ~~certification~~ *airworthiness approval* is permissible provided the flight profile, operating environment, and continued airworthiness program as certified for that aircraft and the air system is similar to the intended usage of DoD. The ~~DoD~~ *Military Department* airworthiness authority will assess and ~~certify-approve~~ the airworthiness of any existing gaps between the intended *configuration, usage and operating environment* of the FAA certification and the intended *configuration, usage and operating environment* of DoD.

b. Where required, interface with the FAA for aircraft and air systems certification issues will be coordinated through the FAA Military Certification Office. Interface with the FAA regarding DoD operations will be coordinated as described in DoDI 4540.01 and DoD Directive 5030.19 (References (*gf*) and (*hg*)).

11. ADOPTION OF DOD AIRWORTHINESS ~~CERTIFICATION-APPROVALS~~. Utilization of a DoD airworthiness ~~certification approval~~ from another ~~DoD Military Department~~ airworthiness authority as a basis for ~~certification approval~~ is permissible provided the flight profile, operating environment, and continued airworthiness program as ~~certified approved~~ for that aircraft and air ~~the~~ system is similar to the intended ~~configuration~~, usage ~~and operating environment~~ of the DoD Components. The ~~DoD Military Department~~ airworthiness authority will assess and ~~certify-approve~~ the airworthiness of any existing gaps between the intended usage of the original DoD ~~certification airworthiness approval~~ and their own intended ~~configuration~~, usage ~~and operating environment~~.

12. JOINT PROGRAMS

a. Joint programs will follow the airworthiness process and policy of the lead Military Department for that particular acquisition program.

b. The lead Military Department airworthiness authority will make considerations for mission and design differences of partner services.

c. If there is no lead Military Department for a particular program, the Program Executive Officer will select a joint program member Military Department's airworthiness authority and subsequent airworthiness process to follow.

d. When a joint program is scheduled to transfer the airworthiness authority of a particular aircraft and air systems design to a Military Department, the joint program must meet the requirements of that Military Department's airworthiness ~~certification-approval~~ processes and standards.

13. FLIGHT IN FOREIGN-OWNED AIRCRAFT. Foreign-owned aircraft designated to fly DoD passengers (to include Service members, DoD civilians, and contractors) or aircrew must comply with this directive or, for DoD commercial air transportation services, Reference (~~eb~~).

14. FOREIGN MILITARY AIRWORTHINESS CERTIFICATION

a. A foreign nation's military airworthiness ~~certification-approval~~ may be accepted as a basis for DoD ~~certification or assessment airworthiness approval~~ if that nation's ~~certification-approval~~ process has been approved by a ~~DoD U.S. Military Department~~ airworthiness authority. The ~~DoD U.S. Military Department~~ airworthiness authority must examine the foreign nation's ~~airworthiness certification-approval~~ process following the standards of this directive before giving his or her approval.

b. Utilization of a DoD-approved, foreign airworthiness authority's ~~certification-approval~~ as a basis for ~~certification airworthiness approval~~ is permissible provided the flight profile, operating environment, and continued airworthiness program as ~~certified-approved~~ for that

aircraft and the air system is similar to the intended usage of DoD. The ~~DoD~~ *U.S. Military Department* airworthiness authority will assess and ~~certify-approve~~ the airworthiness of any existing gaps between the intended usage of the foreign ~~certification-approval~~ and the DoD intended usage.

c. The U.S. Military Department airworthiness authorities will use the standardized guidelines of USD(AT&L) Memorandum (Reference (h)) to develop internal policies and procedures for approving flight in foreign-owned military aircraft and for assessing existing gaps between the intended usage of the foreign approval and the DoD intended usage..

15. OTHER U.S. GOVERNMENT ORGANIZATIONS AIRWORTHINESS ASSESSMENTS

a. Other U.S. Government agencies are responsible for assessing airworthiness of their aircraft and air systems in accordance with Federal Aviation Regulation (Reference (i)), Title 14, Code of Federal Regulations (Reference (j)), and part 102-33 of Title 41, Code of Federal Regulations, Management of Government Aircraft (Reference (k)).

b. A Military Department airworthiness assessment is not required for DoD personnel operating in or with U.S. Government agency aircraft or air systems operated under the authority of References (i) and (j), or in accordance with Reference (k).

~~1516.~~ OPERATOR'S MANUAL. Prior to flight, the aircrew will be provided (in an appropriate format) operator's manuals that describe the flight limits of their aircraft and air systems. The operator's manual will provide system descriptions, limitations, operating procedures, emergency procedures, and other pertinent operating information.

~~1617.~~ OPERATOR AUTHORIZATION. Operators of DoD aircraft and air systems will be either:

a. Rated *for manned aircraft* or qualified *for unmanned aircraft systems* operators from one of the Military Departments and in compliance with that Military Department's flight regulations, to include qualification, training, evaluation, and currency requirements; or

b. Civilian employees of a U.S. Government agency or U.S. Government contractors who are qualified by one of the Military Departments and are in compliance with its flight regulations or hold appropriate FAA certifications or ratings and have complied with pertinent qualification training, evaluation, and currency requirements in accordance with Federal Aviation Regulations. Civilian employees must have written authorization from the appropriate general or flag officer-level-authority with knowledge of the aircraft and air systems to be flown.

GLOSSARY

PART I. ABBREVIATIONS AND ACRONYMS

DoDI	DoD Instruction
FAA	Federal Aviation Administration
USD(AT&L)	Under Secretary of Defense for Acquisition, Technology, and Logistics

PART II. DEFINITIONS

These terms and their definitions are for the purpose of this directive.

airworthiness. The property of an air system configuration to safely attain, sustain, and complete flight in accordance with approved usage limits.

airworthiness authority. An individual who has the legal mandate to develop and enforce pertinent rules, regulations, and policy governing airworthiness.

airworthiness assessment. A technical evaluation of data against specific airworthiness criteria and determination of residual risk. An airworthiness assessment is a critical step in the airworthiness ~~certification~~ *approval* process but itself does not *necessarily* result in the issuance of an airworthiness ~~certificate~~ *approval*.

airworthiness approval. Documents issued by an empowered airworthiness authority and may take a number of different forms (e.g., airworthiness release, military-type certificate, flight clearance), depending on specific airworthiness authority policy. An airworthiness approval affirms that the appropriate tenets of the airworthiness process are met and that the aircraft or air system was assessed against the required airworthiness standards and any residual risk to aircrew, ground crew, passengers, or to third parties has been accepted by the appropriate authority.

~~airworthiness certification. The certification of airworthiness is an assurance by an airworthiness authority that all tenets of the airworthiness process are met to their standards and that the configuration of the aircraft or air system is safe for flight without significant hazard to aircrew, ground crew, passengers, or to other third parties. Airworthiness certificates are normally only issued for aircraft that are owned by components of the certifying airworthiness authority.~~

critical safety item. A part, an assembly, installation equipment, launch equipment, recovery equipment, or support equipment for an aircraft or aviation weapon system if the part, assembly, or equipment contains a characteristic any failure, malfunction, or absence of which could cause a catastrophic or critical failure resulting in the loss of or serious damage to the aircraft or

weapon system, an unacceptable risk of personal injury or loss of life, or an uncommanded engine shutdown that jeopardizes safety.

engineering evaluation. A systems level engineering analysis to verify that the configuration and limitations of the aircraft and air systems are airworthy with respect to the airworthiness criteria defined by the airworthiness authority.

operating envelope. The limitations for a specific configuration defined by the airworthiness authority.

operating environment. The surroundings or conditions in which an aircraft operates including, but not limited to temperatures, loads, ambient environmental conditions, moisture and fluid exposures, electromagnetic spectrum, radiation, maintenance, and ground handling.